

Combination of A β clearance and neurotrophic factors as a potential treatment for Alzheimer's disease

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There is no effective drug to treat Alzheimer's disease (AD), a neurodegenerative disease affecting an estimated 30 million people around the world. Strongly supported by preclinical and clinical studies, amyloid-beta (A β) may be a target for developing drugs against AD. Meanwhile, the fact that localized neuronal death/loss and synaptic impairment occur in AD should also be considered. Neuronal regeneration, which does not occur normally in the mammalian central nervous system, can be promoted by neurotrophic factors (NTFs). Evidence from clinical trials has shown that both A β clearance and NTFs are potentially effective in treating AD, thus a new approach combining A β clearance and administration of NTFs may be an effective therapeutic strategy.

Keywords: Alzheimer's disease; amyloid-beta; neurotrophic factors; treatment

Introduction

Alzheimer's disease (AD) is an age-related, progressive and irreversible neurodegenerative disease that causes serious cognitive dysfunction. Its pathological hallmarks are extracellular deposits of amyloid-beta (A β) and intracellular neurofibrillary tangles, together with drastic synaptic and neuronal reduction or loss^[1]. It has been estimated that AD affects 30 million people around the world^[2], and the past two decades have witnessed an explosion in research into the underlying mechanisms as well as potential therapeutic strategies. Although it was first described by German psychiatrist Alois Alzheimer in 1906, there is still no cure for AD, partly because the cellular and molecular mechanisms underlying it are far from clear.

The Food and Drug Administration in the United States has approved a limited range of drugs to treat AD, including

acetylcholinesterase inhibitors (AChEIs) and N-methyl-D-aspartate receptor (NMDAR) antagonists^[3], although their effects are merely symptomatic and memory-improvement occurs within a limited period. Donepezil, galantamine, rivastigmine and tacrine are AChEIs that prevent the breakdown of acetylcholine released from presynaptic terminals, increasing the residence time of the neurotransmitter within the synapse and thereby prolonging the duration of its interaction with postsynaptic receptors^[4]. Memantine, an NMDAR antagonist, has been approved for the treatment of moderate and severe AD; it works by preventing the excitatory neurotoxicity induced by excessive glutamate^[5].

Currently, no available pharmacological agents cure or reverse the progression of this devastating neurological disorder. It is therefore urgent to improve our understanding of its pathogenesis, and then develop an effective treatment strategy. This review aimed to provide insights

into the design of potentially effective pharmaceutical treatments for AD by targeting two seemingly separate but tightly connected components, A β and neurotrophic factors (NTFs).

A β as a Promising Target for AD Treatment

A β is a peptide of 36–43 amino-acids produced from amyloid precursor protein (APP) through aberrant cleavage by β - and γ -secretases. According to the A β cascade hypothesis proposed by Hardy and Selkoe, the accumulation of extracellular A β aggregates or senile plaques is the key causative factor in the pathological or neurodegenerative changes associated with the development of AD, including inhibition of long-term potentiation, impairment of synaptic function, and eventual neuronal death/loss leading to cognitive decline^[6–8].

Of the AD-related factors listed in Table 1, ~50% are associated with A β . Although many factors are also known to be associated with AD (Table 1), the A β hypothesis remains the focus of research. This is strongly supported by the observation of abundant deposits of A β in the brain in both sporadic AD (~95% of cases) without a clear inheritance pattern, and familial AD (~5% of cases) with autosomal dominant inheritance caused by mutations of APP and the homologous presenilin genes, presenilin 1 and 2. These mutations inevitably lead to increased levels of A β which is considered to be the molecule that initiates neuronal degeneration^[47]. Evidence for this hypothesis comes from APP transgenic mice that show correlations between elevated A β , A β plaques and cognitive deficits. Clinical studies of AD also support this hypothesis. Rovelet-Lecrux *et al.* reported a duplication of the *APP* locus on chromosome 21 in five families with autosomal dominant early-onset AD^[48]. Barthel *et al.*, using positron emission tomography (PET) images of florbetaben (an ¹⁸F-labeled A β -targeted PET tracer), demonstrated that nine of ten mild-moderate probable AD participants (DSM-IV and NINCDS-ADRDA criteria) were A β -positive, compared to only one of ten healthy controls^[49]. Furthermore, in a global phase 2, open-label, non-randomized, multi-center study recruiting a total of 81 men and women with probable mild-to-moderate AD and 69 cognitively unimpaired healthy volunteers aged 55 years and older, florbetaben scans indicated a sensitivity of 80% (95% CI 71–89) and a specificity of 91% (84–98) for discriminating participants with AD from healthy controls^[50].

In addition, A β has recently been reported to alter tau phosphorylation, which is associated with the other cardinal lesion of AD, neurofibrillary tangles composed primarily of hyperphosphorylated tau^[51]. Zeng *et al.*^[52], for example, reported that A β -induced neurotoxicity can be attenuated by inhibiting tau protein hyperphosphorylation. It is conceivable that the two pathological hallmarks of AD, A β deposits and neurofibrillary tangles, may actually reflect two distinct patterns of impairment caused by A β .

A β and NTF Deficiency as a Toxic Feed-Forward Loop Leading to AD

To date, various mechanisms underlying the impairments by A β have been proposed, including decreased brain-derived neurotrophic factor (BDNF) expression, activated inflammatory cells, generation of reactive oxygen species, inhibition of long-term potentiation, impairment of synaptic structure and function, and acceleration of neurofibrillary tangle formation (Table 1).

A β Downregulates NTFs

As neurotrophic effects are directly correlated with neuronal survival and the maintenance of synaptic plasticity, neurotrophic imbalance is a likely mechanism of A β impairment. NTFs, such as BDNF and nerve growth factor (NGF), play critical roles in neuronal survival and regeneration as well as synaptic plasticity. More importantly, A β has been found to contribute to the downregulation of NTFs.

Peng *et al.* demonstrated that transgenic mouse models of AD harboring mutations in APP resulting in A β overproduction, show a significant reduction in cortical BDNF mRNA expression in comparison with wild-type mice^[9]. The cortex and hippocampus, regions involved in learning and memory, exhibit both increased A β production and reduced BDNF levels in AD^[53–55]. A β may impair neurotrophic signaling by decreasing NTF levels. NTF deficiency has the same presentation as the end-point of AD, neuronal loss and synaptic dysfunction. Regionalized neuronal death/loss and synaptic impairment in the process of AD have been demonstrated in many diagnostic, clinical, and pathological studies^[56–59]. For example, West *et al.*^[60] found that neurons in the CA1 region of the hippocampus decline from 14.08×10^6 neurons in an age-matched control group to 4.40×10^6 neurons in an AD group. Similarly, significant neuronal loss

Table 1. Proposed pathogenesis of Alzheimer's disease (AD)

Causative/risk factors	Consequences
A β	Down-regulation of BDNF ^[9] , activation of inflammatory cells ^[10] , generation of reactive oxygen species ^[11] , inhibition of long-term potentiation ^[6] , impairment of synaptic structure and function ^[7,8] , acceleration of neurofibrillary tangle formation ^[12]
Altered function of blood-brain barrier	Decreased clearance of A β ^[13] , leakage of serum-derived components into brain leading to neuronal dysfunction ^[14] , promotion of aluminum accumulation in brain ^[15]
Brain trauma	Increased risk of AD ^[16,17]
Chronic stress	Induction of abnormal hyperphosphorylation of tau ^[18] , accelerated impairment of cognition ^[19]
Decline in protein synthesis	Further neuronal impairment caused by other factors ^[20,21]
Decline in stimulation and acetylcholine	Impaired memory circuitry ^[22,23]
Decreased levels of neurotrophic factors	Deficient support for neuronal survival ^[24,25]
Depression	Chronic inflammation, impairment in the signaling of neurotrophins ^[26]
Diabetes	Apoptosis of neurons, defects of long-term potentiation, changed synapse plasticity ^[27,28]
Downregulation of neprilysin	Promotion of A β deposits ^[29-31]
Enhanced reactive oxygen species levels and calcium overload	Contributes to mitochondrial dysfunction ^[32,33]
Glutamate increases	Neuronal excitotoxicity leading to neuronal death ^[34,35]
Hyperphosphorylated tau	Formation of neurofibrillary tangles ^[36,37]
Inflammation	Exacerbation of tau pathology ^[38] , induction of A β release from neurons ^[39] , attenuation of long-term potentiation ^[40] , retraction of synapses ^[41]
Metal ion dyshomeostasis	Promotion of A β aggregation ^[42,43]
Mutations in genes for presenilin-1 and -2, and amyloid precursor protein; ApoE 4 allele genotype	Increased A β levels, linked to A β accumulation ^[44-46]

in AD was confirmed by Bonbinski *et al.*^[61] who demonstrated that the neuronal count in CA1 in AD patients is 14% of that in a normal elderly control group. Masliah *et al.* found that mild AD cases show a 25% loss of synaptophysin, a synaptic protein^[62]. These findings provide evidence that neuroregeneration might be an approach to treat AD.

NTF Deficits Cause A β Overload

Recent evidence suggests that A β neurotoxicity may be a consequence of NTF deficiency. This is supported by the finding that the AD11 mouse model, which expresses the recombinant monoclonal antibody α D11 that specifically neutralizes NGF thus causing NGF deprivation, shows AD-like pathology including A β accumulation and hippocampus-dependent memory deficits^[63,64]. Based on the report that activation of the amyloidogenic route by NGF deprivation

induces apoptotic death in PC12 cells^[65], Matrone and colleagues further found that APP and presenilin 1 N-terminus (which is the active component endowed with γ -secretase activity) levels were increased in hippocampal neurons that were previously exposed to NGF or BDNF for 48 h followed by deprivation by anti-NGF (or -BDNF) antibodies^[66]. These findings suggest that A β and NTF deficits cause a feed-forward loop that accelerates the toxicity of A β sufficient to cause neuronal death.

Moreover, p75 neurotrophin receptor (p75NTR), a pan-receptor for neurotrophins including BDNF, NGF, neurotrophin-3 and neurotrophin-4/5, has been reported to correlate with A β production. Knockout of p75NTR in APP-transgenic mice reduces the A β production in the brain, suggesting that activation of p75NTR in A β -related pa-

thologies leads to increased A β production^[67]. This finding may well explain the sporadic AD which does not involve mutations of genes implicated in A β generation. In the AD brain, p75NTR expression is increased while neurotrophin levels are decreased, resulting in activation of the neurodegenerative pathway (triggered by proNGF and A β) that leads to A β overproduction, neuronal death, and finally cognitive decline, rather than activation of the neurotrophic pathway (triggered by neurotrophins) that promotes neuronal survival and improves cognition^[68]. Moreover, AD11 transgenic mice with chronic NGF deprivation (that is, the transgenic anti-NGF antibodies bind to mature NGF much more strongly than to proNGF, leading to a decrease in mature NGF availability with a lower NGF/proNGF ratio) show A β accumulation and cognitive decline, for which the “neurotrophic deficit” hypothesis was recently refined as “neurotrophin imbalance”^[63]. Interestingly, by crossing anti-NGF mice to p75NTR^{exonIII(−/−)} mice, Capsoni reported a full rescue of anti-NGF mice with the A β phenotype by p75NTR signaling abrogation^[69]. It would thus be reasonable to enhance the neurotrophin/p75NTR/Trks pathway by introducing exogenous NTFs, switching the neurodegenerative pathway of p75NTR to the neurotrophic pathway and reducing A β load in the brain.

The Status quo of AD Treatment Using A β Clearance

A β clearance has shown potential effectiveness in treating AD. Treatment with bapineuzumab, a humanized anti-A β monoclonal antibody, decreased the cortical A β load in patients with mild-moderate AD in a phase 2 clinical trial^[70]. Exploratory analyses in another phase 2 trial of bapineuzumab in mild-moderate AD showed that potential treatment difference was found for 79 APOE ϵ 4 noncarriers (47 bapineuzumab vs 32 placebo) and for completers (defined as patients who completed all bapineuzumab treatment and a week 78 efficacy assessment in this trial, 36 bapineuzumab vs 21 placebo)^[71]. Bapineuzumab is now undergoing phase 3 clinical trials. However, many failures have occurred in clinical trials for A β -lowering agents. Results obtained from a phase 1 trial on the long-term effects of A β ₄₂ immunization using AN1792 (a synthetic form of the 42 amino-acid A β peptide) showed that the immunization cleared amyloid plaques composed primarily of A β in pa-

tients with AD but failed to prevent progressive neurodegeneration^[72]. Alternative approaches that included targeting β - and γ -secretases, crucial enzymes for A β generation from APP, have been far from successful. Clinical trials using Semagacestat, the most studied γ -secretase inhibitor, have shown no promising improvement as far as cognitive function is concerned. Similar disappointing results have been obtained in two large phase 3 studies of a γ -secretase modulator (tarenflurbil) in patients with mild AD^[73–75], and for tramiprosate, which targets the production of A β ^[76].

Therefore, a question arises as to why A β clearance for AD treatment seems not as effective as predicted by the A β hypothesis. One could argue that AD researchers should think outside A β box^[77]. However, these failures do not predict the future, as proposed by Selkoe. On one hand, the failures of these experimental drugs may be due to their intrinsic drawbacks. Tarenflurbil is a weak γ -secretase modulator (~250 μ mol/L half-maximal inhibitory concentration) with poor brain penetration^[78]. Semagacestat is a non-selective γ -secretase inhibitor with a therapeutic index of <3; its half-maximal inhibitory concentration for A β reduction is only two to three times lower than that for inhibiting Notch cleavage^[79], a receptor involved in regulating cell-fate decisions. Deficient Notch signaling is a severe side-effect of γ -secretase inhibitors; it can cause abnormalities in the gastrointestinal tract, thymus and spleen^[80]. Besides, none of these experimental drugs showed enough potential disease-modifying of AD in phase 2 clinical trial but they were nevertheless advanced to phase 3 clinical trial^[81], which could in part account for this status quo of A β clearance treatment. On the other hand, anti-A β therapies may be highly effective in preventing or slowing the progress of AD in asymptomatic patients with very early signs of AD pathology (that is, presymptomatic phases of AD are likely to benefit from anti-A β therapies)^[82].

Other Therapeutic Approaches to AD

In addition to A β , microtubule-associated protein tau, the hyperphosphorylation of which contributes to axonal transport disruption and the accumulation of neurofibrillary tangles^[83], is also an important therapeutic target for AD. As dysregulation of tau processing causes neuronal degeneration correlated with AD^[84], researchers have made substantial efforts to search for targets to reverse abnormal

tau processing. To date, several kinases have been identified as key players in abnormal tau phosphorylation, particularly glycogen synthase kinase 3 (GSK-3) and protein phosphatase 2A^[85]. The role of GSK-3 in the development of AD-like cognitive decline was supported by Liu *et al.*^[86] who found that inhibition of phosphoinositol-3 kinase and protein kinase C results in overactivation of GSK-3, leading to tau hyperphosphorylation and eventually impaired spatial memory. Lithium, a GSK-3 inhibitor, has been shown to reduce the amount of altered tau protein in animal studies and improve cognitive and biological outcomes in participants with amnesic mild cognitive impairment in a phase 2 clinical study^[87].

Besides, since inflammation may participate in the neurodegenerative process of AD, the use of non-steroidal anti-inflammatory medications such as naproxen may reduce AD incidence in vulnerable subjects^[88]. Oxidative stress is also reported to play a role in the process of AD, as strongly proposed by Smith and Perry^[89,90], and antioxidants may be associated with a lower risk of AD^[91]. These treatment strategies for AD are still under way and more investigations and trials are needed to test their efficacy in its prevention or treatment.

Perspectives

Currently, a major problem we have to combat is that patients with AD do not receive interventional treatment until it has already developed to a level at which neurons have already been so disrupted that, we think, targeting A β alone is insufficient to cure the disease. Previous attempts using the approach of A β clearance decreased A β levels in the brain, as demonstrated by both preclinical studies in cellular or animal models and clinical trials. However, injured neurons cannot repair themselves. Neurons and synapses are the basic units of the nervous system and neural circuits. In the peripheral nervous system, injured neurons are capable of regenerating. Neuronal regeneration, however, does not occur normally in the mammalian central nervous system (CNS), a phenomenon that has been well documented^[92]. Under normal circumstances, very few, if any, axons in the CNS can regenerate past a lesion site where distinctive large endings (retraction bulbs) are found^[93].

There is a metaphor that vividly elucidates the failure of targeting A β to treat AD. Suppose a mouse runs into

a house and chews through the electrical circuit for lighting the house, then the light bulbs associated with this circuit will be off due to the failure of power. A mousetrap is used to catch the mouse to prevent further impairment of the circuit. If the broken circuit is not repaired, the light bulb is still off even though the mouse has been captured. Not only should the mouse be captured, but the broken circuit should be fixed in order to make the light work. In this image, we metaphorically take the mouse to be A β , the mousetrap to be A β clearance, the electrical circuit as neuronal networks, and the light bulb as cognitive function. From the point of view of this metaphor, treatment of AD by combining A β clearance with neuroregeneration may be beneficial.

NTFs Promote Neuroregeneration

There is ample and encouraging evidence that NTFs stimulate neuronal growth, increase synapse number and promote the survival of mature mammalian CNS neurons^[94-96]. A study by Nagahara *et al.*^[97] showed that BDNF prevents the lesion-induced death of entorhinal cortical neurons in aged rats and reverses the synaptic loss in APP transgenic mice. It has also been demonstrated that NGF has a trophic influence on the basal forebrain cholinergic neurons whose pronounced loss is responsible for the cognitive decline in AD^[98]. In view of the potential therapeutic effects of NTFs on degenerating neurons, efforts have been made to use them to treat AD^[99]. Since the first discovery of NGF in the 1950s, a variety of chemical compounds that possess neurotrophic activity, such as magnesium fructose 1, 6-diphosphate^[100], magnolol and honokiol^[101], have been identified. The known ability of these compounds or factors to promote nerve regeneration and neuronal survival has made them a highly attractive group of targets for developing drugs for AD.

There are also encouraging outcomes from clinical trials using neurotrophic agents to treat AD. Cerebrolysin is a peptide preparation acting in a way similar to endogenous NTFs. In randomized controlled clinical trials, patients with moderate to moderately severe AD receiving cerebrolysin, compared with those assigned to receive placebo, had significant improvement in initiation of activities of daily living and cognitive performance scaled by the Alzheimer's Disease Assessment Scale Cognitive Subpart Modified^[102,103].

Combination of A β Clearance and NTFs to Treat AD

As illustrated above, neuronal loss and synaptic dysfunction are the consequence or end-point of multiple but convergent pathways, and A β is the leading causative factor for AD. A β causes neuronal death in AD by decreasing NTF expression, whereas NTF deficits are associated with A β overproduction. Deficits need compensation; thus it is a promising approach to use NTFs for preventing neuronal degeneration. Here, we propose that a combination of A β clearance and administration of NTFs may be an effective way to treat AD.

First, A β clearance is supposed to clear senile plaques and decrease the levels of neurotoxic A β to prevent further neuronal death caused by A β . Second, NTFs are capable of protecting neurons against risk factors and may even promote axonal regeneration to restore impaired neuronal networks and synaptic plasticity; NTFs can even reverse A β overload in the brain caused by NTF deficits and open the feed-forward toxic loop constituted by A β and NTF deficiency. Last but not least, NTFs may increase the efficacy of A β clearance in the treatment of AD in the advanced stage. The severity of neuronal/synaptic loss varies at different stages of AD. Synaptic loss in CA1 in mild AD plummets to 55% that of groups with mild cognitive impairment (MCI) or no cognitive impairment (NCI), while the synaptic value in CA1 of the MCI brain decreases by 18% of that in NCI brain^[105]. Rossler *et al.* found that, according to the Braak staging system, neuron density in CA1 is reduced by 33% at stage IV ($P < 0.02$) and 51% at stage V ($P < 0.0002$) in comparison with stage I during AD^[104]. These data suggest a strong correlation between neuronal/synaptic loss and severity of decline in cognition. Such loss may influence the efficacy of anti-A β on treating AD. This might well explain why anti-A β therapies have a high efficacy in preventing and delaying the development of AD in early stages of the disease, but are much less effective in advanced stages^[106]. Thus, neuroregeneration agents may enable anti-A β to have high efficacy in the advanced stage.

Ideally, these two approaches can be combined to ameliorate the pathological process of AD or better still to improve cognitive function in AD patients. Different from the specific reduction of A β neurotoxicity by A β clearance, NTFs target and act through various receptors on a range

of injured or degenerating neurons. It is therefore likely that the protective or rescuing effects of the two mechanisms can be synergistic, and may be more effective for the treatment of AD. This indeed has been reiterated by Chopra *et al.*^[107]: “a synergistic combination of agents will have the capacity to alter the neurodegenerative cascade and the major aim should be to design ligands with pluripotent pharmacological activities”.

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