

## Autophagy in synaptic development, function, and pathology

Dan-Na Shen<sup>1</sup>, Li-Hui Zhang<sup>1</sup>, Er-Qing Wei<sup>2</sup>, Yi Yang<sup>1</sup>

<sup>1</sup>*Department of Pharmacology, Hangzhou Key Laboratory of Medical Neurobiology, School of Medicine, Hangzhou Normal University, Hangzhou 310036, China*

<sup>2</sup>*Department of Pharmacology, Zhejiang University School of Medicine, Hangzhou 310058, China*

Corresponding authors: Yi Yang and Li-Hui Zhang. E-mail: [yyang@hznu.edu.cn](mailto:yyang@hznu.edu.cn); [lhzhang@hznu.edu.cn](mailto:lhzhang@hznu.edu.cn)

© Shanghai Institutes for Biological Sciences, CAS and Springer-Verlag Berlin Heidelberg 2015

In the nervous system, neurons contact each other to form neuronal circuits and drive behavior, relying heavily on synaptic connections. The proper development and growth of synapses allows functional transmission of electrical information between neurons or between neurons and muscle fibers. Defects in synapse-formation or development lead to many diseases. Autophagy, a major determinant of protein turnover, is an essential process that takes place in developing synapses. During the induction of autophagy, proteins and cytoplasmic components are encapsulated in autophagosomes, which fuse with lysosomes to form autolysosomes. The cargoes are subsequently degraded and recycled. However, aberrant autophagic activity may lead to synaptic dysfunction, which is a common pathological characteristic in several disorders. Here, we review the current understanding of autophagy in regulating synaptic development and function. In addition, autophagy-related synaptic dysfunction in human diseases is also summarized.

**Keywords:** autophagy; synaptogenesis; synaptic elimination; synaptic function; synaptic pathology

### Introduction

As the predominant form of autophagy, macroautophagy (hereafter “autophagy” for short) is an essential self-defense mechanism for the maintenance of cellular homeostasis. It operates by the sequestration of cytoplasmic materials and proteins into a double-membrane autophagosome, which fuses with a lysosome or late endosome whereby encapsulated materials are degraded. Under pathological conditions, autophagy functions as a critical quality-control system; damaged intracellular organelles, misfolded proteins, or protein aggregates are removed by autophagic clearance.

Maday and Holzbaur were the first to uncover the biogenesis of autophagosomes in neurons<sup>[1]</sup>. Under physiological conditions, autophagosomes are generated in a compartmentalized pattern, as most are synthesized in the axonal terminals<sup>[1]</sup>. Although both anterograde-directed motor kinesin and retrograde-directed motor dynein are tightly associated with axonal autophagosomes<sup>[2, 3]</sup>, binding of the scaffolding protein JIP1 to the autophagosome

adaptor LC3 ensures the robust retrograde transport of newly-formed autophagosomes along microtubules in axons<sup>[4]</sup>. Emerging lines of evidence suggest that autophagy regulates the development and function of axons, dendrites, and synapses. Besides, insufficient or excessive neuronal autophagy contributes to pathological changes in these polarized structures. The regulatory role of autophagy in axonal and dendritic degeneration was discussed in our previous review<sup>[5]</sup>.

Synapses are dynamically organized elements<sup>[6]</sup>; the wiring and rewiring of neuronal circuits largely depend on orchestrated changes in the strengths of synaptic contacts in response to developmental and environmental cues. The synapse is the point of contact between the neurons, and plays a crucial role in the transmission of neuronal information. The integrity of synaptic structure and function is pivotal to ensuring that neurons acquire, transfer, process, and store information smoothly and systematically. Because of the high energy demand and protein turnover

ratio in the region of the synapse, the timely clearance of synaptic contents appears to be crucial for maintaining synaptic function<sup>[7]</sup>. Several lines of evidence point to the involvement of autophagy in synaptogenesis, synaptic elimination, and synaptic transmission. Besides, autophagy-related synaptic dysfunction has been implicated in neurodevelopmental disorders and neurodegenerative disorders. In this article, we review the recent experimental findings on how autophagy modulates the development, function, and pathology of the synapse.

### Autophagy in Synaptic Development

Synapses are highly dynamic components of neurons, and persistent turnover of synapses occurs during development and in the adult brain. During development, the synaptogenesis and synaptic elimination are under delicate balance to maintain the normal functions of neuronal circuits<sup>[8–10]</sup>. Synaptic gain and elimination, proceeding by synaptic turnover, are key rearrangement events in learning, memory, and cognition<sup>[11]</sup>. Since the autophagic pathway plays a fundamental role in regulating protein turnover, we summarize the recent progress in understanding the regulatory effects of autophagy on synaptogenesis and synaptic elimination.

#### Autophagy in Synaptogenesis

Each individual *Drosophila* neuromuscular junction (NMJ) contains hundreds of synapses and therefore is a well-established model system for studying synaptogenesis. Synaptogenesis is a multistep process, and a variety of molecules and signaling pathways have been identified to mediate early synaptogenesis<sup>[12]</sup>. Autophagy and the ubiquitin-proteasome system are major pathways for protein degradation in cells. Accumulating evidence has indicated the importance of protein degradation *via* the ubiquitin-proteasome system, which is mainly responsible for the turnover of short-lived cytosolic proteins, in regulating synaptic growth<sup>[13–16]</sup>. Highwire (Hiw), an E3 ubiquitin ligase that mediates key steps in the protein ubiquitination process, negatively governs synaptic growth at the *Drosophila* NMJ<sup>[17, 18]</sup>. It has been suggested that Hiw mediates presynaptic bone morphogenetic protein signaling through ubiquitination mechanisms and thereby controls the growth of neuromuscular synapses<sup>[19]</sup>. Recent studies have emphasized the involvement of autophagy, which is

responsible for the degradation of long-lived proteins and damaged organelles, in synaptic development. Increased levels of the synaptic protein synaptotagmin 1 have been found along with upregulated autophagy proteins (Atg9a and LC3-II) during the differentiation of mouse neural stem cells<sup>[20]</sup>. Under transmission electron microscopy, autophagosomes are distributed in the synaptic terminals of cultured hippocampal neurons<sup>[21]</sup>, indicating that autophagy is required during synaptogenesis.

In 2009, Shen and Ganetzky reported that autophagy plays a positive role in promoting the growth of the larval *D. melanogaster* NMJ<sup>[22]</sup>. Impaired autophagy significantly reduces the size of NMJ synapses and the number of boutons in larvae, whereas overexpression of the autophagy-associated gene *atg1* induces NMJ overgrowth by elevating autophagic activity<sup>[22]</sup>. In accordance with these results, Batlevi *et al.* also reported a decreased number of synaptic boutons in dynein light chain 1 (*ddlc1*) mutant *Drosophila* that exhibited attenuated autophagic activity and reduced protein clearance<sup>[23]</sup>.

Although the molecular mechanism underlying autophagy-regulated synaptic growth is not entirely clear, it has been suggested that autophagy regulates NMJ growth by inducing the degradation of Hiw<sup>[22]</sup>. *D. melanogaster* Rae1, an Hiw cofactor, binds to Hiw and prevents its autophagy-regulated downregulation<sup>[24]</sup>. In addition, the mitogen-activated protein kinase signaling pathway also participates in autophagy-mediated synaptogenesis. The downstream signaling cascades of this pathway, including extracellular signal-regulated kinase (ERK), c-Jun-N-terminal kinase (JNK), and p38 mitogen activated kinase, are well-characterized mediators of synaptic development<sup>[25]</sup>. Wairkar *et al.* revealed that Unc-51, the *Caenorhabditis elegans* Atg1 ortholog, promotes synaptic formation and development by downregulating ERK signaling<sup>[26]</sup>. JNK and its transcriptional effector AP-1 can be activated in response to oxidative stress. The activation of JNK/AP-1 regulates synaptic development under oxidative stress by activating autophagy<sup>[25, 27, 28]</sup>.

#### Autophagy in Synaptic Elimination

It is worthy of note that the phenomenon of increased synapse number can result from enhanced synaptic formation or decreased synaptic elimination. Synaptic elimination, also known as synaptic pruning, is the process of removing redundant or inappropriate synaptic connections. Synaptic elimination helps to fine-tune precise

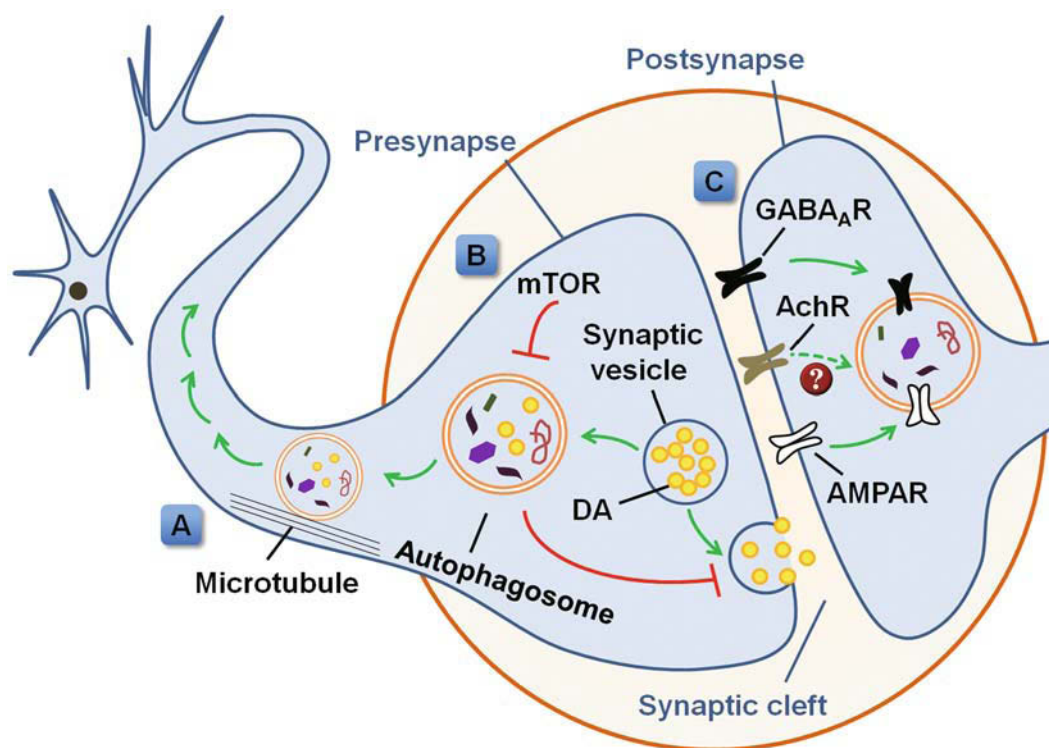
neuronal connectivity and is as important as synaptogenesis during brain development<sup>[29]</sup>.

The spine is a specialized postsynaptic protrusion on dendrites. The time course of spine development in primary cultured hippocampal neurons is similar to that of dendritic spines in mouse brain<sup>[30, 31]</sup>. In cultured neurons, the number of spines increases during 6–10 days *in vitro* (DIV), peaks at 14–21 DIV, and decreases after 21–28 DIV<sup>[32]</sup>. Tang *et al.* found that silencing the key autophagy gene *atg7* increases the spine density at 19–20 DIV<sup>[32]</sup>. Interestingly, unlike control cells in which the rates of synapse formation and elimination are approximately equivalent, hippocampal neurons deficient in *atg7* exhibit normal spine formation but greatly inhibited elimination, indicating that autophagy enables synaptic elimination in cultured hippocampal neurons during the “mature” developmental stage<sup>[32, 33]</sup>. On

the other hand, deficits in autophagy leading to insufficient synaptic elimination are closely associated with several neurodevelopmental diseases that are discussed in detail below. As autophagy is required for development of the *Drosophila* NMJ, the normal spine formation in *atg7*-deficient cultured neurons might be due to species differences or the different conditions between *in vivo* and *in vitro* studies.

### Autophagy in Synaptic Function

In neurons, the majority of autophagosomes are locally synthesized in the distal terminals of axons<sup>[3]</sup> (Fig. 1A). After generation, autophagosomes are transported towards the soma and the engulfed cytoplasmic materials are delivered to lysosomes for degradation<sup>[4, 5]</sup>. Although the molecular mechanism involved in the biosynthesis of neuronal



**Fig. 1.** Regulatory role of autophagy in synaptic terminals. (A) Cytoplasmic contents, including misfolded proteins and organelles, are engulfed into double-membrane autophagosomes. Most of the autophagosomes are locally synthesized in axons and are then transported along microtubules towards the cell body. (B) In the presynaptic terminals of dopaminergic neurons, autophagy mediates synaptic vesicle degradation and suppresses DA release. mTOR negatively regulates autophagic activation. (C) In postsynaptic terminals, autophagy contributes to the degradation of postsynaptic receptors, such as GABA<sub>A</sub>Rs and AMPARs. Whether or not autophagy governs AChR degradation is unclear. AChR, acetylcholine receptor; AMPAR,  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid-type glutamate receptor; DA, dopamine; GABA<sub>A</sub>R, gamma-aminobutyric acid-A receptor; mTOR, mammalian target of rapamycin.

autophagosomes is not entirely understood, accumulating evidence indicates that the Rab family and related small GTPases are required for the formation and maturation of autophagosomes<sup>[34]</sup>. A recent study further showed that the GTPase Rab26 directs synaptic vesicles towards pre-autophagosomal structures<sup>[35]</sup>, implying that autophagy participates in synaptic transmission. Synaptic transmission relies on neurotransmitters and their receptors. In this process, neurotransmitters are initially released from the presynaptic terminals, and subsequently bind to

and activate their receptors located on the postsynaptic terminals, triggering a series of biochemical reactions.

A growing body of evidence has revealed that autophagy is capable of regulating synaptic function in presynaptic and postsynaptic terminals (Fig. 1B, C). Both basal and induced autophagy participate in the modulation of synaptic transmission and plastic remodeling. Autophagy-regulated synaptic function in GABAergic, dopaminergic, glutamatergic, and cholinergic neurotransmitter systems has been described in detail (Table 1).

**Table 1. Autophagy-regulated synaptic function in GABAergic, dopaminergic, glutamatergic, and cholinergic neurotransmitter systems**

Neurotransmitter system	Species	Tissues/Cells	Description	Reference
GABAergic	<i>C. elegans</i>	Non-innervated muscle cells	GABA <sub>A</sub> receptors target to autophagosomes for degradation	[49]
Dopaminergic	<i>Mus musculus</i>	DA neurons from DAT Cre mice	Autophagy activation depresses evoked DA secretion in dopaminergic neurons	[40]
	<i>M. musculus</i>	METH-treated ventral midbrain DA neurons	Perturbed DA release may in turn trigger autophagy	[45]
Glutamatergic	<i>Rattus norvegicus</i>	Primary cultured hippocampal neurons exposed to KCl	NMDAR-dependent autophagy contributes to AMPAR degradation	[52]
Cholinergic	<i>M. musculus</i>	Tibialis anterior muscle	Autophagy regulates the basal and atrophy-induced turnover of CHRN	[53]
	<i>C. elegans</i>	Non-innervated muscle cells	AChRs do not traffic to autophagosomes	[49]

AChRs, acetylcholine receptors; AMPAR,  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid-type glutamate receptor; DA, dopamine; CHRN, muscle-type cholinergic receptor, nicotinic/nicotinic AChR; DAT, dopamine transporter; KCl, potassium chloride; METH, methamphetamine; NMDAR, glutamatergic N-methyl-D-aspartate receptor.

### Autophagy in Presynaptic Terminals

Mammalian target of rapamycin (mTOR) is a serine/threonine kinase that acts as a key cell growth mediator *via* integrating the inputs from multiple upstream signals<sup>[36, 37]</sup>. mTOR blocks the activation of autophagy at an initial step during autophagosome formation<sup>[38]</sup>. Notably, mTOR regulates local RNA translation at the synapse and thus appears to be important for the synthesis of synaptic proteins<sup>[39]</sup>. Emerging lines of evidence highlight the crucial role of the mTOR signal in regulating synaptic transmission<sup>[40, 41]</sup> and synaptic plasticity<sup>[42]</sup>. Inhibition of the mTOR signaling pathway

by rapamycin, which upregulates autophagic activity in mammalian cells, reduces the numbers of synaptic vesicle and depresses the evoked dopamine (DA) secretion from dopaminergic neurons<sup>[40]</sup> (Fig. 1B). Mice deficient in DA neuron-specific autophagy (*atg7* DAT Cre) exhibit enhanced DA release in response to stimulation and an increased rate of synaptic recovery<sup>[40]</sup>. Based on these findings, it has been speculated that autophagy acts as a brake on presynaptic activity by regulating the kinetics of DA release<sup>[43]</sup>. The perturbed neurotransmitter release may in turn trigger autophagy induction. For instance, dopaminergic terminals

are particularly vulnerable to methamphetamine (METH), a widely-abused psychostimulant<sup>[44]</sup>. In ventral midbrain DA neurons, METH promotes DA synthesis and subsequently elevates the cytosolic DA level<sup>[45]</sup>. The excessive DA metabolites may lead to the generation of damaged lipids and proteins, thereby inducing autophagic degradation<sup>[45]</sup>.

### **Autophagy in Postsynaptic Terminals**

In postsynaptic terminals, autophagy contributes to the degradation of special types of receptors.  $\gamma$ -aminobutyric acid (GABA) is the principal inhibitory neurotransmitter in the central nervous system (CNS). GABA<sub>A</sub> receptors (GABA<sub>A</sub>Rs), the major postsynaptic components of GABAergic synapses, mediate fast synaptic inhibition in the brain<sup>[46]</sup>. These receptors, composed of different subunits, are distributed at both synaptic and extra-synaptic sites, where they play crucial roles in governing phasic and tonic inhibition, respectively<sup>[47, 48]</sup>. *C. elegans* is an ideal animal model for investigating neurotransmitter receptors because it can be genetically manipulated. In 2006, Rowland *et al.* for the first time reported that the cell-surface GABA<sub>A</sub>Rs, but not acetylcholine receptors, targeted to autophagosomes for degradation<sup>[49]</sup> (Fig. 1C). In contrast to the simple and uniform distribution of GABA<sub>A</sub>Rs in *C. elegans*<sup>[50]</sup>, the structure of GABA<sub>A</sub>Rs is rather complex in mammalian cells<sup>[51]</sup>, and there is still no evidence that autophagy is required for the turnover of GABA<sub>A</sub>Rs in mammalian cells.

In addition to GABA<sub>A</sub>Rs, glutamatergic N-methyl-D-aspartate receptor (NMDAR)-dependent autophagy contributes to the degradation of  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid-type glutamate receptors (AMPA<sub>A</sub>Rs) in cultured rat hippocampal neurons upon stimulation (Fig. 1C), suggesting that autophagy participates in NMDAR-dependent synaptic remodeling<sup>[52]</sup>.

The regulatory effect of autophagy on cholinergic neurons has not yet been fully clarified (Fig. 1C). Rowland *et al.* showed that acetylcholine receptors do not traffic to autophagosomes in the non-innervated muscle cells of *C. elegans*<sup>[49]</sup>. In contrast, a recent report demonstrated that in mouse tibialis anterior muscles, autophagy contributes to the basal and atrophy-induced turnover of muscle-type cholinergic receptors, nicotinic/nicotinic acetylcholine receptors in a tripartite motif containing 63 (TRIM63)-dependent manner<sup>[53]</sup>. Such a discrepancy might be due to the different species used in experiments. Nevertheless, autophagy seems to act as a universal regulator for

modulating receptor turnover in postsynaptic terminals, though the substrate-specificity of autophagosomes still needs to be well defined.

### **Autophagy and Synaptic Regulators**

Despite the uncertainty of an association between synaptic regulators and autophagy, defects in certain synaptic proteins result in the failure of either autophagic induction or autophagic clearance. For example, loss of neuron-specific synaptic v-SNARE (soluble NSF attachment protein receptor) *n-syb* (*neuronal Synaptobrevin*) leads to increased autophagic activity in adult *D. melanogaster* photoreceptor neurons<sup>[54]</sup>. Such enhancement of autophagy is proposed to be a consequence of primary vesicle trafficking defects<sup>[54]</sup>. Snapin, initially identified as a neuronal SNARE-binding protein, is a crucial modulator of vesicle release and presynaptic homeostatic plasticity<sup>[55, 56]</sup>. Deleting *snapin* promotes the accumulation of autolysosomes in cortical neurons by impairing efficient autophagic turnover<sup>[57]</sup>. Therefore, synaptic regulators in turn may affect the autophagy-lysosomal degradative system.

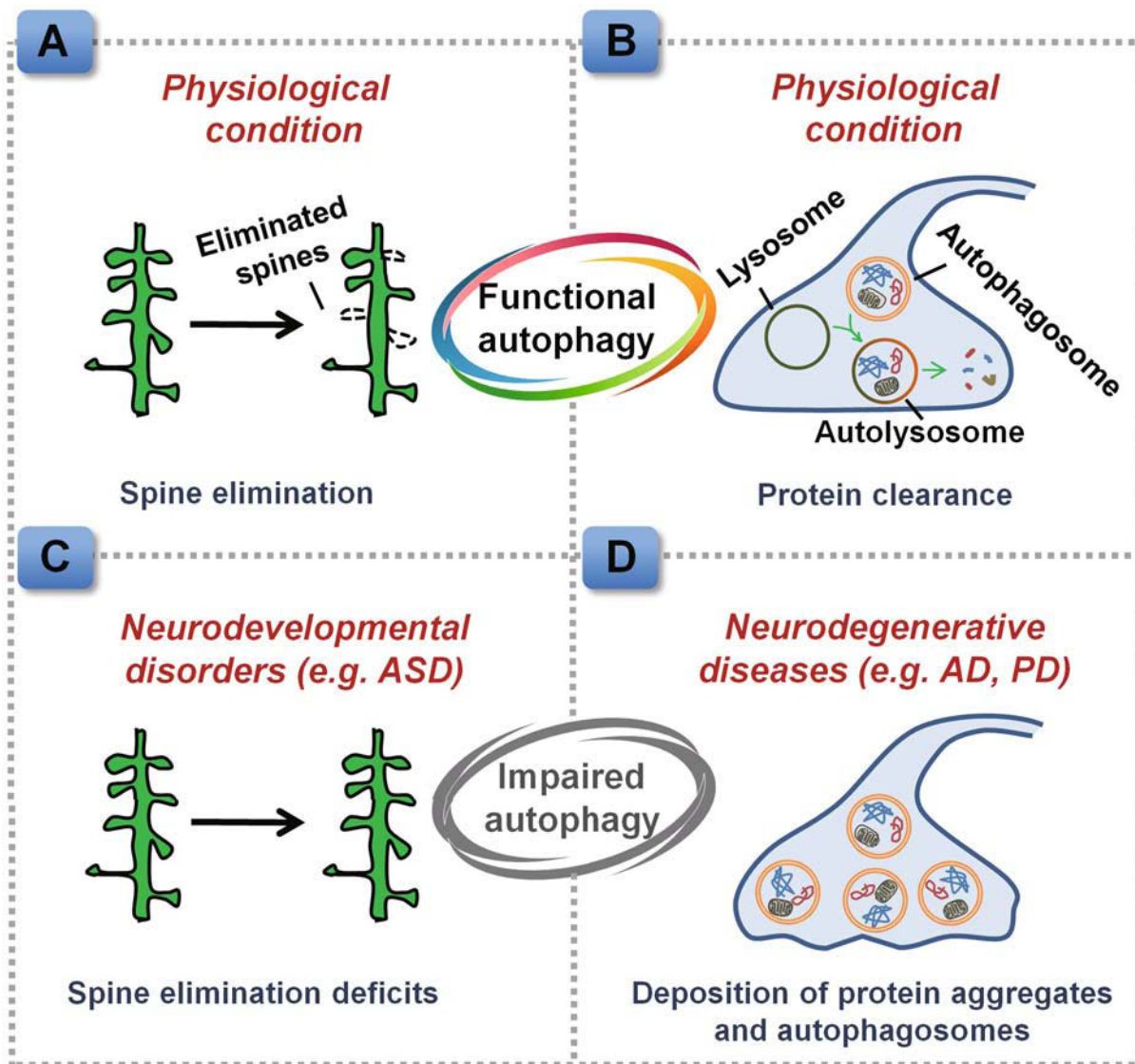
### **Involvement of Autophagy in Synaptic Pathology**

Functional autophagy participates in a variety of events in synapses, including dendritic spine elimination (Fig. 2A), local protein clearance and turnover (Fig. 2B), and synaptic growth. Morphological and functional impairment of synapse is a common theme in the pathogenesis of many neurological diseases. However, the potential impact of autophagy on synaptic pathology has not yet been explored in all neurological diseases. Here, we discuss the recent evidence supporting a role of autophagy in mediating synaptic pathology in human diseases, including neurodevelopmental disorders (e.g. autism spectrum disorders [ASDs]) and neurodegenerative disorders (e.g. Alzheimer's disease [AD]<sup>[58, 59]</sup> and Parkinson's disease [PD]<sup>[60, 61]</sup>). Moreover, the involvement of autophagy has also been noted in synaptic dysfunction upon aging and the burden of oxidative stress (OS), a condition involved in several neurological diseases.

### **Neurodevelopmental Disorders**

Appropriate elimination of synapses is a crucial step for neuronal network refinement during brain development, while insufficient or abnormal synaptic elimination is linked to many neurodevelopmental disorders. ASD





**Fig. 2.** Autophagy-related synaptic pathology in neurological diseases. Functional autophagy participates in dendritic spine elimination (A) and local protein clearance in the synapse (B). Impaired autophagy leads to spine elimination deficits in neurodevelopmental disorders, such as ASD (C). In addition, insufficient protein clearance caused by abnormal autophagy leads to the deposition of aberrant or misfolded protein aggregates and autophagosomes in synapses, which is a pathological feature of several neurodegenerative diseases such as AD and PD (D). AD, Alzheimer's disease; ASD, autism spectrum disorder; PD, Parkinson's disease.

is characterized by deficits in social interaction and communication, restricted interests, and repetitive behaviors, and the aberrant development and function of synapses is known to be involved in its pathogenesis<sup>[62]</sup>. Compared to age-matched control cases, increased dendritic spine densities occur in the frontal, temporal, and parietal lobe regions of ASD brains<sup>[63]</sup>, and the greater spine

densities are correlated with reduced cognitive function in individuals with ASD<sup>[63]</sup>. In addition, the increased dendritic spine density is predominantly caused by reduced developmental spine elimination. Most importantly, such spine pruning deficits result from hyperactivation of the mTOR signaling pathway and impaired autophagy<sup>[32]</sup>. Although the molecular mechanism by which autophagy

contributes to synaptic regulation has not yet been fully clarified, a recent report identified a key gene involved in this process. Ambra1 is a prominent upstream regulator of Beclin 1 (a principal mediator of autophagosome formation). Deficiency in Ambra1 results in autism-like phenotypes in female mice<sup>[64]</sup>, implying that deregulation of the autophagic pathway causes the pathology of autism. Based on this evidence, it is possible that dysfunctional autophagy tends to contribute to synaptic pathology and leads to ASD phenotypes (Fig. 2C), while activation of autophagy may normalize the dendritic spine elimination and correct the synaptic pathology in ASD.

### **Neurodegenerative Diseases**

In neurodegenerative diseases, synaptic loss and dysfunction commonly occurs before that in the soma. Besides, the formation and accumulation of aberrant or misfolded protein aggregates, owing to insufficient protein clearance by autophagy or other intracellular degradative pathways, is another pathological feature of neurodegenerative disorders<sup>[65]</sup>. There is no doubt that dysfunctional protein turnover in synapses is associated with the pathological protein accumulation. Indeed, excessive protein aggregates as well as autophagic vacuoles have been noted to accumulate locally in synapses.

Synaptic dysfunction is highly correlated with the cognition and memory decline in age-related neurobiological changes such as AD<sup>[66, 67]</sup>. In young AD mice (4–6-month-old PS1/APP mice), increased accumulation of autophagic vacuoles is correlated with aberrant presynaptic terminals<sup>[68]</sup>. In accord with this finding, senescence-accelerated prone 8 (SAMP8) mice, another AD model, exhibit elevated numbers of LC-3 positive cells in the hippocampus as well as prominent synaptic loss<sup>[69]</sup>. Generally, increased formation of autophagic vacuoles results either from induced autophagic activity or from autophagic flux defects. In primary cultured neurons with AD-like injury and in AD animal models, autophagy has been demonstrated to act as a protective mechanism, as the stimulation of autophagy or the recovery of lysosomal proteolysis is able to prevent AD-like neuritic degeneration, possibly by promoting the maturation of autophagosomes<sup>[5, 70]</sup>. In view of this point, we speculate that the accumulation of autophagic vacuoles, most likely caused by defective degradation of synaptic proteins (Fig. 2D), matches the synaptic dysfunction in AD and contributes

to the cognitive and memory deficits in patients. This hypothesis is supported by a recent finding that oleuropein aglycone protects against pyroglutamylation-3 amyloid  $\beta$  peptide toxicity and synaptic dysfunction by activating neuronal autophagic machinery as determined by elevated Beclin 1 and LC3 immunoreactivity along with enhanced degradation of autophagy substrates<sup>[71]</sup>.

PD is characterized by the accumulation of the aggregation-prone protein  $\alpha$ -synuclein, which, under physiological conditions, functions in modulation of the presynaptic neurotransmitter vesicle pools<sup>[72, 73]</sup>. Wild-type  $\alpha$ -synuclein is normally degraded by chaperone-mediated autophagy, another essential type of autophagy in which a pool of cytosolic proteins are targeted to lysosomes by chaperones for degradation<sup>[74, 75]</sup>. Macroautophagy is thought to be a compensatory mechanism for the failure of chaperone-mediated autophagy, and defective autophagy enhances the deposition of aberrant  $\alpha$ -synuclein aggregation in Lewy bodies under the pathological conditions of PD<sup>[76]</sup>. The synapse is assumed to be the major target of  $\alpha$ -synuclein, as aberrant  $\alpha$ -synuclein deposition is found predominantly in presynaptic terminals and leads to synaptic pathology<sup>[77]</sup> (Fig. 2D). Impaired autophagic clearance results in the deposition of  $\alpha$ -synuclein in presynaptic terminals of Atg7-deleted mice<sup>[78]</sup>.

In addition to  $\alpha$ -synuclein, a wealth of evidence highlights the importance of the mutations of another two PD gene products, leucine-rich repeat kinase-2 (LRRK2) and parkin, in synaptic pathology of PD<sup>[79, 80]</sup>. These PD gene products are involved in the maintenance of synaptic morphology and mediate synaptic protein trafficking. A detailed discussion of synaptic autophagy, LRRK2, and parkin in PD models can be found in another review<sup>[81]</sup>. Although the role of autophagy in the synaptic pathology of PD remains largely unexplored, it is known that parkin recruits damaged mitochondria for degradation through autophagic proteolysis. It is possible that impaired parkin may cause aberrant mitochondrial turnover regulated by selective autophagy (termed mitophagy), which contributes to abnormal synaptic homeostasis in PD<sup>[82]</sup>.

### **Aging**

The aging-associated reduction of synaptic number and function has been noted in the pathological changes in several neurodegenerative disorders; these changes

precede the memory impairment and cognitive decline in patients<sup>[83]</sup>. In addition, autophagic activity declines during aging, while autophagy augmented by genetic manipulation or by pharmacological interference (e.g. administration of rapamycin or spermidine) extends the lifespan of model organisms<sup>[84]</sup>. Studies of NMJ aging in *Drosophila* have revealed an abundant accumulation of early endosomes, multivesicular bodies, and autophagosomes in the synaptic boutons of old flies<sup>[85]</sup>. The enhanced autophagy might be closely associated with the misregulated recycling of synaptic vesicles in the motor terminals of old flies. Deficient autophagy in muscle leads to deterioration of neuromuscular synaptic function and precocious aging in mice<sup>[86]</sup>. Based on this evidence, it appears that age-related synaptic impairments are exacerbated by deficits in autophagy.

### **Oxidative Stress Burden**

The cause of neuronal death in neurodegenerative diseases is known to be multifactorial, the OS burden caused by excessive generation of ROS being one of the most convincing theories of pathogenesis<sup>[87]</sup>. ROS not only leads to apoptotic cell death, but also regulates synaptic growth and function<sup>[28, 88]</sup>. Autophagy is the main cellular response to OS burden. In a *Drosophila* model of lysosomal storage disease, *spinster* (*spin*), OS induces synaptic overgrowth<sup>[28]</sup>. Autophagy-related genes, such as *atg1* and *atg18*, are required for OS burden-triggered synaptic overgrowth in this model, and disturbance of autophagy is able to reverse synaptic overgrowth<sup>[28]</sup>. Therefore, it is hypothesized that upon OS burden, the overproduction of ROS may activate autophagy which plays a key role in mediating synaptic growth, function, and senescence<sup>[25]</sup>. Nevertheless, there is a lack of confirmatory data on the involvement of autophagy in regulating OS-induced synaptic pathology in mammalian cells. Owing to the importance of OS burden in a wide range of neurodegenerative disorders, a better understanding of the precise role of OS-activated autophagy in synaptic regulation may provide fundamental insights into pathogenesis and may offer novel targets for therapeutic interference.

In addition to the human disorders mentioned above, the impact of autophagy on synaptic pathology has also been addressed in other laboratory models of neurological diseases including ischemia<sup>[89]</sup>, electroconvulsive seizures<sup>[90]</sup>, and neurotoxicity<sup>[91]</sup>.

### **Conclusions**

Although in the past few years a wealth of evidence has been reported on this topic, the most crucial questions about how autophagy regulates synaptic development, function, and pathology have not yet been fully answered. Increased autophagy induction is found in synaptic terminals during pathogenesis. However, whether the excessive autophagy machinery is beneficial, harmful, or simply reflects an epiphenomenon, is yet to be finally determined. Hopefully, a clearer understanding of autophagy function in the physiological and pathological responses of synapses may open up new avenues for the development of therapeutic approaches targeting synaptic pathology in human disorders.

### **ACKNOWLEDGMENTS**

This review was supported by the National Natural Science Foundation of China (81401043 and 81273491), Zhejiang Provincial Natural Science Foundation of China (LQ13H310004 and LY12H31010), the Health Bureau of Zhejiang Province (2013KYA147), a Key Laboratory of Hangzhou City Project (20090233T12), the Science Foundation of Hangzhou Normal University (2012QDL048), and the Program of "Xinmiao" Talents in Zhejiang Province, China (2015R423054).

Received date: 2015-04-24; Accepted date: 2015-05-30

### **REFERENCES**

- [1] Maday S, Holzbaur EL. Autophagosome biogenesis in primary neurons follows an ordered and spatially regulated pathway. *Dev Cell* 2014, 30: 71–85.
- [2] Yang Y, Feng LQ, Zheng XX. Microtubule and kinesin/dynein-dependent, bi-directional transport of autolysosomes in neurites of PC12 cells. *Int J Biochem Cell Biol* 2011, 43: 1147–1156.
- [3] Maday S, Wallace KE, Holzbaur EL. Autophagosomes initiate distally and mature during transport toward the cell soma in primary neurons. *J Cell Biol* 2012, 196: 407–417.
- [4] Fu MM, Nirschl JJ, Holzbaur EL. LC3 binding to the scaffolding protein JIP1 regulates processive dynein-driven transport of autophagosomes. *Dev Cell* 2014, 29: 577–590.
- [5] Yang Y, Coleman M, Zhang L, Zheng X, Yue Z. Autophagy in axonal and dendritic degeneration. *Trends Neurosci* 2013, 36: 418–428.
- [6] Choquet D, Triller A. The dynamic synapse. *Neuron* 2013,



- 80: 691–703.
- [7] Son JH, Shim JH, Kim KH, Ha JY, Han JY. Neuronal autophagy and neurodegenerative diseases. *Exp Mol Med* 2012, 44: 89–98.
  - [8] Cline HT. Dendritic arbor development and synaptogenesis. *Curr Opin Neurobiol* 2001, 11: 118–126.
  - [9] Hua JY, Smith SJ. Neural activity and the dynamics of central nervous system development. *Nat Neurosci* 2004, 7: 327–332.
  - [10] Park M, Watanabe S, Poon VY, Ou CY, Jorgensen EM, Shen K. CY1/cyclin Y and CDK-5 differentially regulate synapse elimination and formation for rewiring neural circuits. *Neuron* 2011, 70: 742–757.
  - [11] Caroni P, Donato F, Muller D. Structural plasticity upon learning: regulation and functions. *Nat Rev Neurosci* 2012, 13: 478–490.
  - [12] Robichaux MA, Cowan CW. Signaling mechanisms of axon guidance and early synaptogenesis. *Curr Top Behav Neurosci* 2014, 16: 19–48.
  - [13] Ehlers MD. Activity level controls postsynaptic composition and signaling via the ubiquitin-proteasome system. *Nat Neurosci* 2003, 6: 231–242.
  - [14] Speese SD, Trotta N, Rodesch CK, Aravamudan B, Broadie K. The ubiquitin proteasome system acutely regulates presynaptic protein turnover and synaptic efficacy. *Curr Biol* 2003, 13: 899–910.
  - [15] Chen PC, Bhattacharyya BJ, Hanna J, Minkel H, Wilson JA, Finley D, *et al.* Ubiquitin homeostasis is critical for synaptic development and function. *J Neurosci* 2011, 31: 17505–17513.
  - [16] Korhonen L, Lindholm D. The ubiquitin proteasome system in synaptic and axonal degeneration: a new twist to an old cycle. *J Cell Biol* 2004, 165: 27–30.
  - [17] DiAntonio A, Haghighi AP, Portman SL, Lee JD, Amaranto AM, Goodman CS. Ubiquitination-dependent mechanisms regulate synaptic growth and function. *Nature* 2001, 412: 449–452.
  - [18] Wan HI, DiAntonio A, Fetter RD, Bergstrom K, Strauss R, Goodman CS. Highwire regulates synaptic growth in *Drosophila*. *Neuron* 2000, 26: 313–329.
  - [19] McCabe BD, Hom S, Aberle H, Fetter RD, Marques G, Haerry TE, *et al.* Highwire regulates presynaptic BMP signaling essential for synaptic growth. *Neuron* 2004, 41: 891–905.
  - [20] Morgado AL, Xavier JM, Dionisio PA, Ribeiro MF, Dias RB, Sebastiao AM, *et al.* MicroRNA-34a Modulates Neural Stem Cell Differentiation by Regulating Expression of Synaptic and Autophagic Proteins. *Mol Neurobiol* 2014.
  - [21] Petralia RS, Schwartz CM, Wang YX, Kawamoto EM, Mattson MP, Yao PJ. Sonic hedgehog promotes autophagy in hippocampal neurons. *Biol Open* 2013, 2: 499–504.
  - [22] Shen W, Ganetzky B. Autophagy promotes synapse development in *Drosophila*. *J Cell Biol* 2009, 187: 71–79.
  - [23] Batlevi Y, Martin DN, Pandey UB, Simon CR, Powers CM, Taylor JP, *et al.* Dynein light chain 1 is required for autophagy, protein clearance, and cell death in *Drosophila*. *Proc Natl Acad Sci U S A* 2010, 107: 742–747.
  - [24] Tian X, Li J, Valakh V, DiAntonio A, Wu C. *Drosophila* Rae1 controls the abundance of the ubiquitin ligase Highwire in post-mitotic neurons. *Nat Neurosci* 2011, 14: 1267–1275.
  - [25] Milton VJ, Sweeney ST. Oxidative stress in synapse development and function. *Dev Neurobiol* 2012, 72: 100–110.
  - [26] Wairkar YP, Toda H, Mochizuki H, Furukubo-Tokunaga K, Tomoda T, DiAntonio A. Unc-51 controls active zone density and protein composition by downregulating ERK signaling. *J Neurosci* 2009, 29: 517–528.
  - [27] Wu H, Wang MC, Bohmann D. JNK protects *Drosophila* from oxidative stress by transcriptionally activating autophagy. *Mech Dev* 2009, 126: 624–637.
  - [28] Milton VJ, Jarrett HE, Gowers K, Chalak S, Briggs L, Robinson IM, *et al.* Oxidative stress induces overgrowth of the *Drosophila* neuromuscular junction. *Proc Natl Acad Sci U S A* 2011, 108: 17521–17526.
  - [29] Waites CL, Craig AM, Garner CC. Mechanisms of vertebrate synaptogenesis. *Annu Rev Neurosci* 2005, 28: 251–274.
  - [30] Orefice LL, Waterhouse EG, Partridge JG, Lalchandani RR, Vicini S, Xu B. Distinct roles for somatically and dendritically synthesized brain-derived neurotrophic factor in morphogenesis of dendritic spines. *J Neurosci* 2013, 33: 11618–11632.
  - [31] Ko J, Soler-Llavina GJ, Fuccillo MV, Malenka RC, Sudhof TC. Neuroligins/LRRTMs prevent activity- and Ca<sup>2+</sup>/calmodulin-dependent synapse elimination in cultured neurons. *J Cell Biol* 2011, 194: 323–334.
  - [32] Tang G, Gudsnek K, Kuo SH, Cotrina ML, Rosoklija G, Sosunov A, *et al.* Loss of mTOR-dependent macroautophagy causes autistic-like synaptic pruning deficits. *Neuron* 2014, 83: 1131–1143.
  - [33] Bowling H, Klann E. Shaping dendritic spines in autism spectrum disorder: mTORC1-dependent macroautophagy. *Neuron* 2014, 83: 994–996.
  - [34] Chua CE, Gan BQ, Tang BL. Involvement of members of the Rab family and related small GTPases in autophagosome formation and maturation. *Cell Mol Life Sci* 2011, 68: 3349–3358.
  - [35] Binotti B, Pavlos NJ, Riedel D, Wenzel D, Vorbruggen G, Schalk AM, *et al.* The GTPase Rab26 links synaptic vesicles to the autophagy pathway. *eLife* 2015, 4.
  - [36] Hay N, Sonenberg N. Upstream and downstream of mTOR.

- Genes Dev 2004, 18: 1926–1945.
- [37] Dobashi Y, Watanabe Y, Miwa C, Suzuki S, Koyama S. Mammalian target of rapamycin: a central node of complex signaling cascades. *Int J Clin Exp Pathol* 2011, 4: 476–495.
- [38] Kim J, Kundu M, Viollet B, Guan KL. AMPK and mTOR regulate autophagy through direct phosphorylation of Ulk1. *Nat Cell Biol* 2011, 13: 132–141.
- [39] Liu-Yesucevitz L, Bassell GJ, Gitler AD, Hart AC, Klann E, Richter JD, *et al.* Local RNA translation at the synapse and in disease. *J Neurosci* 2011, 31: 16086–16093.
- [40] Hernandez D, Torres CA, Setlik W, Cebrian C, Mosharov EV, Tang G, *et al.* Regulation of presynaptic neurotransmission by macroautophagy. *Neuron* 2012, 74: 277–284.
- [41] Weston MC, Chen H, Swann JW. Multiple roles for mammalian target of rapamycin signaling in both glutamatergic and GABAergic synaptic transmission. *J Neurosci* 2012, 32: 11441–11452.
- [42] Lyu D, Yu W, Tang N, Wang R, Zhao Z, Xie F, *et al.* The mTOR signaling pathway regulates pain-related synaptic plasticity in rat entorhinal-hippocampal pathways. *Mol Pain* 2013, 9: 64.
- [43] Torres CA, Sulzer D. Macroautophagy can press a brake on presynaptic neurotransmission. *Autophagy* 2012, 8: 1540–1541.
- [44] Friend DM, Fricks-Gleason AN, Keefe KA. Is there a role for nitric oxide in methamphetamine-induced dopamine terminal degeneration? *Neurotox Res* 2014, 25: 153–160.
- [45] Larsen KE, Fon EA, Hastings TG, Edwards RH, Sulzer D. Methamphetamine-induced degeneration of dopaminergic neurons involves autophagy and upregulation of dopamine synthesis. *J Neurosci* 2002, 22: 8951–8960.
- [46] Farrant M, Kaila K. The cellular, molecular and ionic basis of GABA(A) receptor signalling. *Prog Brain Res* 2007, 160: 59–87.
- [47] Farrant M, Nusser Z. Variations on an inhibitory theme: phasic and tonic activation of GABA(A) receptors. *Nat Rev Neurosci* 2005, 6: 215–229.
- [48] Brickley SG, Mody I. Extrasynaptic GABA(A) receptors: their function in the CNS and implications for disease. *Neuron* 2012, 73: 23–34.
- [49] Rowland AM, Richmond JE, Olsen JG, Hall DH, Bamber BA. Presynaptic terminals independently regulate synaptic clustering and autophagy of GABAA receptors in *Caenorhabditis elegans*. *J Neurosci* 2006, 26: 1711–1720.
- [50] Bamber BA, Richmond JE, Otto JF, Jorgensen EM. The composition of the GABA receptor at the *Caenorhabditis elegans* neuromuscular junction. *Br J Pharmacol* 2005, 144: 502–509.
- [51] Miller PS, Aricescu AR. Crystal structure of a human GABAA receptor. *Nature* 2014, 512: 270–275.
- [52] Shehata M, Matsumura H, Okubo-Suzuki R, Ohkawa N, Inokuchi K. Neuronal stimulation induces autophagy in hippocampal neurons that is involved in AMPA receptor degradation after chemical long-term depression. *J Neurosci* 2012, 32: 10413–10422.
- [53] Khan MM, Strack S, Wild F, Hanashima A, Gasch A, Brohm K, *et al.* Role of autophagy, SQSTM1, SH3GLB1, and TRIM63 in the turnover of nicotinic acetylcholine receptors. *Autophagy* 2014, 10: 123–136.
- [54] Haberman A, Williamson WR, Epstein D, Wang D, Rina S, Meinertzhagen IA, *et al.* The synaptic vesicle SNARE neuronal Synaptobrevin promotes endolysosomal degradation and prevents neurodegeneration. *J Cell Biol* 2012, 196: 261–276.
- [55] Ilardi JM, Mochida S, Sheng ZH. Snapin: a SNARE-associated protein implicated in synaptic transmission. *Nat Neurosci* 1999, 2: 119–124.
- [56] Dickman DK, Tong A, Davis GW. Snapin is critical for presynaptic homeostatic plasticity. *J Neurosci* 2012, 32: 8716–8724.
- [57] Cai Q, Lu L, Tian JH, Zhu YB, Qiao H, Sheng ZH. Snapin-regulated late endosomal transport is critical for efficient autophagy-lysosomal function in neurons. *Neuron* 2010, 68: 73–86.
- [58] Kamat PK, Kalani A, Rai S, Swarnkar S, Tota S, Nath C, *et al.* Mechanism of oxidative stress and synapse dysfunction in the pathogenesis of Alzheimer's disease: understanding the therapeutics strategies. *Mol Neurobiol* 2014.
- [59] Nava-Mesa MO, Jimenez-Diaz L, Yajeya J, Navarro-Lopez JD. GABAergic neurotransmission and new strategies of neuromodulation to compensate synaptic dysfunction in early stages of Alzheimer's disease. *Front Cell Neurosci* 2014, 8: 167.
- [60] Hunn BH, Cragg SJ, Bolam JP, Spillantini MG, Wade-Martins R. Impaired intracellular trafficking defines early Parkinson's disease. *Trends Neurosci* 2015, 38:178–88.
- [61] Picconi B, Piccoli G, Calabresi P. Synaptic dysfunction in Parkinson's disease. *Adv Exp Med Biol* 2012, 970: 553–572.
- [62] Won H, Mah W, Kim E. Autism spectrum disorder causes, mechanisms, and treatments: focus on neuronal synapses. *Front Mol Neurosci* 2013, 6: 19.
- [63] Hutsler JJ, Zhang H. Increased dendritic spine densities on cortical projection neurons in autism spectrum disorders. *Brain Res* 2010, 1309: 83–94.
- [64] Dere E, Dahm L, Lu D, Hammerschmidt K, Ju A, Tantra M, *et al.* Heterozygous *ambra1* deficiency in mice: a genetic trait with autism-like behavior restricted to the female gender. *Front Behav Neurosci* 2014, 8: 181.
- [65] Sontag EM, Vonk WI, Frydman J. Sorting out the trash: the spatial nature of eukaryotic protein quality control. *Curr Opin*

- Cell Biol 2014, 26: 139–146.
- [66] LaFerla FM, Oddo S. Alzheimer's disease: Abeta, tau and synaptic dysfunction. *Trends Mol Med* 2005, 11: 170–176.
- [67] Morrison JH, Baxter MG. Synaptic health. *JAMA Psychiatry* 2014, 71: 835–837.
- [68] Sanchez-Varo R, Trujillo-Estrada L, Sanchez-Mejias E, Torres M, Baglietto-Vargas D, Moreno-Gonzalez I, *et al.* Abnormal accumulation of autophagic vesicles correlates with axonal and synaptic pathology in young Alzheimer's mice hippocampus. *Acta Neuropathol* 2012, 123: 53–70.
- [69] Chen Y, Wei G, Nie H, Lin Y, Tian H, Liu Y, *et al.* beta-Asarone prevents autophagy and synaptic loss by reducing ROCK expression in asenescence-accelerated prone 8 mice. *Brain Res* 2014, 1552: 41–54.
- [70] Yang Y, Chen S, Zhang J, Li C, Sun Y, Zhang L, *et al.* Stimulation of autophagy prevents amyloid-beta peptide-induced neuritic degeneration in PC12 cells. *J Alzheimers Dis* 2014, 40: 929–939.
- [71] Luccarini I, Grossi C, Rigacci S, Coppi E, Pugliese AM, Pantano D, *et al.* Oleuropein aglycone protects against pyroglutamylated-3 amyloid-ss toxicity: biochemical, epigenetic and functional correlates. *Neurobiol Aging* 2015, 36: 648–663.
- [72] Moore DJ, West AB, Dawson VL, Dawson TM. Molecular pathophysiology of Parkinson's disease. *Annu Rev Neurosci* 2005, 28: 57–87.
- [73] Recasens A, Dehay B. Alpha-synuclein spreading in Parkinson's disease. *Front Neuroanat* 2014, 8: 159.
- [74] Cuervo AM, Stefanis L, Fredenburg R, Lansbury PT, Sulzer D. Impaired degradation of mutant alpha-synuclein by chaperone-mediated autophagy. *Science* 2004, 305: 1292–1295.
- [75] Mak SK, McCormack AL, Manning-Bog AB, Cuervo AM, Di Monte DA. Lysosomal degradation of alpha-synuclein *in vivo*. *J Biol Chem* 2010, 285: 13621–13629.
- [76] Zhang H, Duan C, Yang H. Defective autophagy in Parkinson's disease: lessons from genetics. *Mol Neurobiol* 2015, 51: 89–104.
- [77] Vekrellis K, Xilouri M, Emmanouilidou E, Rideout HJ, Stefanis L. Pathological roles of alpha-synuclein in neurological disorders. *Lancet Neurol* 2011, 10: 1015–1025.
- [78] Friedman LG, Lachenmayer ML, Wang J, He L, Poulouse SM, Komatsu M, *et al.* Disrupted autophagy leads to dopaminergic axon and dendrite degeneration and promotes presynaptic accumulation of alpha-synuclein and LRRK2 in the brain. *J Neurosci* 2012, 32: 7585–7593.
- [79] Beccano-Kelly DA, Kuhlmann N, Tatarnikov I, Volta M, Munsie LN, Chou P, *et al.* Synaptic function is modulated by LRRK2 and glutamate release is increased in cortical neurons of G2019S LRRK2 knock-in mice. *Front Cell Neurosci* 2014, 8: 301.
- [80] Hanson JE, Orr AL, Madison DV. Altered hippocampal synaptic physiology in aged parkin-deficient mice. *Neuromolecular Med* 2010, 12: 270–276.
- [81] Plowey ED, Chu CT. Synaptic dysfunction in genetic models of Parkinson's disease: a role for autophagy? *Neurobiol Dis* 2011, 43: 60–67.
- [82] Zhu JY, Vereshchagina N, Sreekumar V, Burbulla LF, Costa AC, Daub KJ, *et al.* Knockdown of Hsc70-5/mortalin induces loss of synaptic mitochondria in a Drosophila Parkinson's disease model. *PLoS One* 2013, 8: e83714.
- [83] van der Zee EA. Synapses, spines and kinases in mammalian learning and memory, and the impact of aging. *Neuroscience and biobehavioral reviews* 2015, 50: 77–85.
- [84] He LQ, Lu JH, Yue ZY. Autophagy in ageing and ageing-associated diseases. *Acta pharmacologica Sinica* 2013, 34: 605–611.
- [85] Beramendi A, Peron S, Casanova G, Reggiani C, Cantera R. Neuromuscular junction in abdominal muscles of Drosophila melanogaster during adulthood and aging. *J Comp Neurol* 2007, 501: 498–508.
- [86] Carnio S, LoVerso F, Baraibar MA, Longa E, Khan MM, Maffei M, *et al.* Autophagy impairment in muscle induces neuromuscular junction degeneration and precocious aging. *Cell Rep* 2014, 8: 1509–1521.
- [87] Uttara B, Singh AV, Zamboni P, Mahajan RT. Oxidative stress and neurodegenerative diseases: a review of upstream and downstream antioxidant therapeutic options. *Curr Neuropharmacol* 2009, 7: 65–74.
- [88] Accardi MV, Daniels BA, Brown PM, Fritschy JM, Tyagarajan SK, Bowie D. Mitochondrial reactive oxygen species regulate the strength of inhibitory GABA-mediated synaptic transmission. *Nat Commun* 2014, 5: 3168.
- [89] Ruan YW, Han XJ, Shi ZS, Lei ZG, Xu ZC. Remodeling of synapses in the CA1 area of the hippocampus after transient global ischemia. *Neuroscience* 2012, 218: 268–277.
- [90] Otabe H, Nibuya M, Shimazaki K, Toda H, Suzuki G, Nomura S, *et al.* Electroconvulsive seizures enhance autophagy signaling in rat hippocampus. *Prog Neuropsychopharmacol Biol Psychiatry* 2014, 50: 37–43.
- [91] Chen L, Miao Y, Jin P, Zha Y, Chai Y, Zheng F, *et al.* The role of elevated autophagy on the synaptic plasticity impairment caused by CdSe/ZnS quantum dots. *Biomaterials* 2013, 34: 10172–10181.